

DEFINED CONTRIBUTION CONSOLIDATION REPORT - May 2014

| PROVIDER | ACTION | SUCCESSOR | DATE |
|-------------------------------|---------------|-------------------------------|------|
| Cetera | Sold | RCAP | 2014 |
| JP Morgan RK | Selling | Great-West | 2014 |
| Putnam RK | Merged | Great-West | 2014 |
| Nuveen | Selling | TIAA-Cref | 2014 |
| Daily Access | Sold | Verisight and Stone Point | 2014 |
| Munder | Selling | Victory | 2014 |
| CPI | Rebranded | CUNA Mutual | 2014 |
| Ridgeworth | Sold | Lightyear & Management | 2013 |
| NFP | Taken Private | Madison Dearborn | 2013 |
| ING | IPO | Public | 2013 |
| Tower Square | Sold | Cetera | 2013 |
| Verisight | Sold | Stone Point | 2013 |
| Walnut Street Securities | Sold | Cetera | 2013 |
| ASpire | Raise Capital | Financial Technology Ventures | 2012 |
| Dwight Asset Management | Sold | Goldman Sachs | 2012 |
| ExpertPlan | Sold | Ascensus | 2012 |
| Hartford | Sold | MassMutual | 2012 |
| Morgan Keegan | Sold | Raymond James | 2012 |
| Vanguard | Outsourced | Ascensus | 2012 |
| Columbia Investments | sold | Ameriprise | 2011 |
| E Plan | sold | Paychex | 2011 |
| M&I | sold | BMO | 2011 |
| McGladrey | sold | Verisight | 2011 |
| Oppenheimer | Exited RK | Ascensus | 2011 |
| First American Funds | Sold | Nuveen | 2010 |
| Matrix | Sold | Broadridge | 2010 |
| McCready & Keene | sold | OneAmerica | 2010 |
| NextStep/BenefitStreet | sold | Verisight | 2010 |
| NRP | sold | LPL | 2010 |
| ABG MidAtlantic | sold | BPA | 2009 |
| Baden (Clark) | Sold | Ascensus | 2009 |
| CPI | Sold | CUNA Mutual | 2009 |
| Hand Benefits | sold | BPA | 2009 |
| Merrill Lynch | Sold | Bank of America | 2009 |
| Putnam | sold | Power Financial (GreatWest) | 2009 |
| Schloss & Co. | sold | Sentinal (Focus Finncial) | 2009 |
| Symetra (Mutual Fund Product) | Sold | Ascensus | 2009 |
| Wachovia | Sold | Wells Fargo | 2009 |
| 401(k)Advisors & RPAG | sold | NFP | 2008 |
| CitiStreet | Sold | ING | 2008 |
| First Mercantile | sold | MassMutual | 2008 |
| Bisys | Sold | JC Flowers/Crump | 2007 |

| | | | |
|--------------------------------|---------------------------|--------------------------|------|
| Franklin Templeton | Sold | Great West | 2007 |
| Princeton Retirement Group | Sold | Hartford | 2007 |
| Sentinel Benefits | Sold | Focus Financial | 2007 |
| SunLife (MFS) | Sold | Hartford | 2007 |
| UBOC | Sold | Prudential | 2007 |
| Ameriprise | Sold | Wachovia | 2006 |
| Baden | Sold | Clark Consulting | 2006 |
| CCA | Sold | JP Morgan | 2006 |
| Ceridian | Sold | Newport Group | 2006 |
| Cynergy | Sold | JP Morgan | 2006 |
| Geller & Wind | Sold | Focus Financial | 2006 |
| Invesmart | Sold | Standard | 2006 |
| MetLife | Sold | Great West | 2006 |
| MFS | Sold | SunLife | 2006 |
| Southeastern Employee Benefits | Sold | Paychex | 2006 |
| SunGuard (STN) | Partnered | Fidelity (IWS) | 2006 |
| The 401kCompany | Sold | Schwab | 2006 |
| US Bank | Sold | Great West | 2006 |
| ABN AMRO | Sold | Principal | 2005 |
| American Express | Sold/Renamed | IPO/Ameriprise | 2005 |
| Amvescap | Sold | Merrill Lynch | 2005 |
| AXA Advisors | Outsourced | BFDS/DST | 2005 |
| CitiStreet | Sold (403(b) Business) | Met Life | 2005 |
| Federated Investors | Outsourced | Fascore | 2005 |
| Fifth Third Bank | Outsourced | Fascore | 2005 |
| JP Morgan | Outsourced | Fascore | 2005 |
| Mellon | Sold | ACS | 2005 |
| Metavante (M&I) | Sold | Great West | 2005 |
| MetLife | Outsourced 403(b) | CitiStreet | 2005 |
| Sunguard | Sold | Private Equity Group | 2005 |
| Travelers | Sold | Met Life | 2005 |
| Union Central | Merged | Ameritas/Unifi | 2005 |
| AG Edwards | Sold | CPI | 2004 |
| American Express | Outsourced | Great West | 2004 |
| BancOne | Sold | JP Morgan | 2004 |
| Bank of NY | Sold | Wachovia | 2004 |
| CNA Trust | Sold | Union Bank of California | 2004 |
| Comerica | Outsourced | Wachovia | 2004 |
| GoldK | Sold | LBMC | 2004 |
| Harris Bank | Sold | Wells Fargo | 2004 |
| John Hancock | Sold | Manulife | 2004 |
| Putnam | Carve out | Mercer HR | 2004 |
| Southtrust | Sold | Wachovia | 2004 |

| | | | |
|---------------------------------|-----------------------------|-----------------------|------|
| Strong | Sold | Wells Fargo | 2004 |
| 401k Company | Exited small market | | 2003 |
| American Century | Sold | JP Morgan | 2003 |
| Cigna | Sold | Prudential | 2003 |
| Delaware | Outsourced | Bisys | 2003 |
| Emjay/Wells Fargo | Sold | Great West | 2003 |
| Enterprise/MONY | Sold | AXA | 2003 |
| Federated Insurance | Sold | Great West | 2003 |
| Fleet | Sold | Bank of America | 2003 |
| GE | Outsourced | Bisys | 2003 |
| mPower | Sold | Morningstar | 2003 |
| Northern Trust | Sold | Hewitt | 2003 |
| Pan American | Sold | Securian | 2003 |
| PFPC | Sold | Wachovia | 2003 |
| Pioneer | Exited | | 2003 |
| Putnam | Outsourced | Expert Plan | 2003 |
| Safeco | Sold | Symetra | 2003 |
| Scudder | Sold | ADP | 2003 |
| UMB | Sold | M&I | 2003 |
| US Bank | Outsourced | Bisys | 2003 |
| Administrative Management Group | Sold | Lincoln Financial | 2002 |
| American Skandia | Exited | | 2002 |
| Bank of NY | Outsourced | PFPC | 2002 |
| CBIZ | Outsourced | Bisys | 2002 |
| Emplanet | Exited | | 2002 |
| Guardian | Exited | | 2002 |
| Key Bank | Sold | Principal | 2002 |
| Merchantile Bank | Outsourced | Bisys | 2002 |
| MetLife | Merged | Gen Am & New England | 2002 |
| National City Bank | Outsourced | Hewitt | 2002 |
| Nippon Life | Exited | | 2002 |
| Persumma | Merged | MassMutual | 2002 |
| Provident Mutual | Sold | Nationwide | 2002 |
| State Street Research | Exited | | 2002 |
| SunTrust | Outsourced | Nationwide | 2002 |
| Trustar | Exited | | 2002 |
| Allmerica | Sold | Securian | 2001 |
| Emjay | Sold | Wells Fargo | 2001 |
| Fleet | Outsourced | INVESCO | 2001 |
| John Hancock | Sold Retirement Business | UPI | 2001 |
| LaSalle Bank | Outsourced | Chicago Title & Trust | 2001 |
| MetLife | Sold large plans | Hewitt | 2001 |
| Morgan Stanley | Outsourced | ADP/Fidelity | 2001 |

| | | | |
|----------------------------------|--------------------------------|---------------|------|
| PaineWebber | Outsourced | MFS | 2001 |
| Reliastar | Sold | Aetna | 2001 |
| Teamvest | Sold | Newport Group | 2001 |
| Unifi (PWC) | Sold | Mellon HR | 2001 |
| UPI | Sold | Bisys | 2001 |
| Wachovia | Outsourced large plans | Invesco | 2001 |
| Wachovia | Sold | First Union | 2001 |
| American Century | Outsourced/Exited Small Market | ADP | 2000 |
| Bank of America | Outsourced | ADP/Aegon | 2000 |
| Huntington Bank | Exited | | 2000 |
| Merrill Lynch | Sold <\$3mm | Bisys | 2000 |
| Prudential | Outsourced <\$3mm | Bisys | 2000 |
| T Rowe Price | Outsourced small plans | Trustar | 2000 |
| Chase Manhattan Bank | Outsourced | Fidelity | 1999 |
| CitiBank (Copeland/Smith Barney) | Merged | CitiStreet | 1999 |
| State Street | Merged | CitiStreet | 1999 |
| PFPC | Sold | PNC Bank | |
| Aetna | Sold | ING | |
| Transamerica | Sold | Aegon | |