

## DEFINED CONTRIBUTION CONSOLIDATION REPORT - SEPTEMBER 2012

PROVIDER	ACTION	SUCCESSOR	DATE
Hartford	Sold	MassMutual	2012
ASpire	Raise Capital	Financial Technology Ventures	2012
Morgan Keegan	Sold	Raymond James	2012
Dwight Asset Management	Sold	Goldman Sachs	2012
Vanguard	Outsourced	Ascensus	2012
M&I	sold	BMO	2011
E Plan	sold	Paychex	2011
Oppenheimer	Exited RK	Ascensus	2011
McGladrey	sold	Verisight	2011
Columbia Investments	sold	Ameriprise	2011
First American Funds	Sold	Nuveen	2010
NRP	sold	LPL	2010
NextStep/BenefitStreet	sold	Verisight	2010
Matrix	Sold	Broadridge	2010
McCready & Keene	sold	OneAmerica	2010
Symetra (Mutual Fund Product)	Sold	Ascensus	2009
ABG MidAtlantic	sold	BPA	2009
Baden (Clark)	Sold	Ascensus	2009
Schloss & Co.	sold	Sentinal (Focus Finncial)	2009
CPI	Sold	CUNA Mutual	2009
Wachovia	Sold	Wells Fargo	2009
Merrill Lynch	Sold	Bank of America	2009
Hand Benefits	sold	BPA	2009
Putnam	sold	Power Financial (GreatWest)	2009
CitiStreet	Sold	ING	2008
401(k)Advisors & RPAG	sold	NFP	2008
Bisys	Sold	JC Flowers/Crump	2007
Princeton Retirement Group	Sold	Hartford	2007
UBOC	Sold	Prudential	2007
SunLife (MFS)	Sold	Hartford	2007
Franklin Templeton	Sold	Great West	2007
Sentinel Benefits	Sold	Focus Financial	2007
Southeastern Employee Benefits	Sold	Paychex	2006
Ameriprise	Sold	Wachovia	2006
MFS	Sold	SunLife	2006
Invesmart	Sold	Standard	2006
The 401kCompany	Sold	Schwab	2006
Ceridian	Sold	Newport Group	2006
CCA	Sold	JP Morgan	2006
Cynergy	Sold	JP Morgan	2006
MetLife	Sold	Great West	2006
US Bank	Sold	Great West	2006
Geller & Wind	Sold	Focus Financial	2006

SunGuard (STN)	Partnered	Fidelity (IWS)	2006
Baden	Sold	Clark Consulting	2006
Sunguard	Sold	Private Equity Group	2005
ABN AMRO	Sold	Principal	2005
CitiStreet	Sold (403(b) Business)	Met Life	2005
Travelers	Sold	Met Life	2005
Amvescap	Sold	Merrill Lynch	2005
American Express	Sold/Renamed	IPO/Ameriprise	2005
Metavante (M&I)	Sold	Great West	2005
Federated Investors	Outsourced	Fascore	2005
Fifth Third Bank	Outsourced	Fascore	2005
JP Morgan	Outsourced	Fascore	2005
MetLife	Outsourced 403(b)	CitiStreet	2005
AXA Advisors	Outsourced	BFDS/DST	2005
Union Central	Merged	Ameritas/Unifi	2005
Mellon	Sold	ACS	2005
Harris Bank	Sold	Wells Fargo	2004
Strong	Sold	Wells Fargo	2004
Bank of NY	Sold	Wachovia	2004
Comerica	Outsourced	Wachovia	2004
Southtrust	Sold	Wachovia	2004
CNA Trust	Sold	Union Bank of California	2004
Putnam	Carve out	Mercer HR	2004
John Hancock	Sold	Manulife	2004
GoldK	Sold	LBMC	2004
BancOne	Sold	JP Morgan	2004
American Express	Outsourced	Great West	2004
AG Edwards	Sold	CPI	2004
PFPC	Sold	Wachovia	2003
Safeco	Sold	Symetra	2003
Pan American	Sold	Securian	2003
Cigna	Sold	Prudential	2003
mPower	Sold	Morningstar	2003
UMB	Sold	M&I	2003
American Century	Sold	JP Morgan	2003
Northern Trust	Sold	Hewitt	2003
Emjay/Wells Fargo	Sold	Great West	2003
Federated Insurance	Sold	Great West	2003
Putnam	Outsourced	Expert Plan	2003
Delaware	Outsourced	Bisys	2003
GE	Outsourced	Bisys	2003
US Bank	Outsourced	Bisys	2003
Fleet	Sold	Bank of America	2003
Enterprise/MONY	Sold	AXA	2003
Scudder	Sold	ADP	2003

401k Company	Exited small market		2003
Pioneer	Exited		2003
Key Bank	Sold	Principal	2002
Bank of NY	Outsourced	PFPC	2002
Provident Mutual	Sold	Nationwide	2002
SunTrust	Outsourced	Nationwide	2002
Persumma	Merged	MassMutual	2002
Administrative Management Group	Sold	Lincoln Financial	2002
National City Bank	Outsourced	Hewitt	2002
MetLife	Merged	Gen Am & New England	2002
CBIZ	Outsourced	Bisys	2002
Merchantile Bank	Outsourced	Bisys	2002
American Skandia	Exited		2002
Emplanet	Exited		2002
Guardian	Exited		2002
Nippon Life	Exited		2002
State Street Research	Exited		2002
Trustar	Exited		2002
Emjay	Sold	Wells Fargo	2001
John Hancock	Sold Retirement Business	UPI	2001
Allmerica	Sold	Securian	2001
Teamvest	Sold	Newport Group	2001
PaineWebber	Outsourced	MFS	2001
Unifi (PWC)	Sold	Mellon HR	2001
Fleet	Outsourced	INVESCO	2001
Wachovia	Outsourced large plans	Invesco	2001
MetLife	Sold large plans	Hewitt	2001
Wachovia	Sold	First Union	2001
LaSalle Bank	Outsourced	Chicago Title & Trust	2001
UPI	Sold	Bisys	2001
Reliastar	Sold	Aetna	2001
Morgan Stanley	Outsourced	ADP/Fidelity	2001
T Rowe Price	Outsourced small plans	Trustar	2000
Merrill Lynch	Sold <\$3mm	Bisys	2000
Prudential	Outsourced <\$3mm	Bisys	2000
Bank of America	Outsourced	ADP/Aegon	2000
American Century	Outsourced/Exited Small Market	ADP	2000
Huntington Bank	Exited		2000
Chase Manhattan Bank	Outsourced	Fidelity	1999
CitiBank (Copeland/Smith Barney)	Merged	CitiStreet	1999
State Street	Merged	CitiStreet	1999
PFPC	Sold	PNC Bank	
Aetna	Sold	ING	

Transamerica	Sold	Aegon	
--------------	------	-------	--