

2012 List of DC Focused Broker Dealers & Advisory Firms	
Employee	Independents
Ameriprise	AIG
Baird	Advisor Group
Edward Jones	Cambridge
Merrill Lynch	Cetera
Morgan Stanley	Commonwealth
Raymond James*	Hilliard Lyons
RBC	ING
UBS	LPL
Wells Fargo Advisors*	M Financial
<i>*Both Independent & Employee</i>	National Planning
Insurance	NFP
AXA	Securities of America
John Hancock	Stiffel Nichols
Lincoln	Specialty
MassMutual	401(k) Advisors
Metlife	CapTrust
Northwestern Mutual	Financial Telesis
NYLife	Gallagher
Princor	Lockton
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